



Declaration of data protection and consent

Research project R&I Loop:

Shaping the way Higher Education Institutions do Research and Innovation with and for Society

1. Purpose and data collecting body

The cross-sectional task of scientific monitoring and the scientific evaluation of the research project " R&I Loop: Shaping the way Higher Education Institutions do Research and Innovation with and for Society" entails the collection of empirical data. In accordance with the overall objective for the work area quality assurance, monitoring and evaluation, which encompasses work packages (1) - (3), "R&I LOOP" defines the task of scientific monitoring and evaluation first of all as a process-accompanying instrument; i.e., it is designed to provide critical-reflective, constructive, and actively formative support for project partners to improve their internal and project-specific development processes, which serve to ensure the achievement of the projects' objectives and the gain of knowledge. Hence, it is essential to involve all participants of the "R&I LOOP" consortium in the formative evaluation process as actively shaping partners from the very beginning. Thus, it is possible to jointly identify consortium-internal or consortium-specific structural and organizational influencing factors through which the consortium development (i.e., progress and adaption in view of the funding objectives) can be systematically advanced and supported in the best way possible. A monitoring and evaluation approach that effectively contributes to the consortium's development cannot take place without detailed knowledge of the specific contextual situation and framework conditions of the individual (partner) projects as well as joint multi-perspective, critical-constructive reflection.

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2. Legal basis

The legal basis for data processing for the above-mentioned purposes is the consent given in accordance with GDPR Article 6 (1.1) lit. a (consent).

3. Data processing

The declaration of consent includes the processing of the following personal data: name, email address and telephone number.

As part of data collection, metadata of all project partners is collected via standardized questionnaires via the online platform EvaSys (the data protection policy of EvaSys can be found at <https://www.zq.uni-mainz.de/nutzerinnen-der-webbasierten-evaluationssoftware-evasys-lizensiert-an-der-universitaet-mainz-und-im-hochschulevaluierungsverbundes-suedwest-e-v/>). Furthermore, semi-standardised, guideline-based interviews will be carried out with the leaders and team members of the respective consortium partner. Metadata are also collected via an online survey and semi-standardised, guideline-based interviews are carried out.

All data and information collected in this project are handled in strict confidence and strictly in accordance with applicable data protection regulations. All collected data will be used exclusively for scientific purposes within the framework of the R&I LOOP project and exclusively in pseudonymized form. The pseudonymisation procedure is described in (4).

The results of the project are presented and archived exclusively in anonymised form. This means that no conclusions can be drawn as to which person provided the information. After completion of the project, the anonymized data might be archived at a research data centre and, if necessary, made available for further reanalyses. If you object to the archiving and/or reuse of your data, your data will be removed from the dataset beforehand.

4. Confidentiality

The data is collected on a personal basis. This means that conclusions can be drawn about you based on the data collected. However, we assure that all information will be treated as strictly confidential and that the data will be immediately anonymized as soon as the research purpose allows. To ensure anonymity, all data are stored under a randomly generated code. The assignment of the code to your name and/or respective project is kept in a



separate document and separate from all other data on the JGU's network drive and the servers of the Centre for Data Processing.

5. Duration of data storage

All personal data will be deleted at the latest after completion of the research project (authorisation period ends on 30.12.2022) in accordance with data protection regulations.

6. Data transfer

The transmission, storage, and processing of the completely pseudonymised data will take place exclusively at JGU Mainz.

If necessary, the anonymised data will be transmitted to third parties for the publication of the research results or for archiving in a German research data centre.

7. Publication

The research results will be published in a form that is customary for scientific contexts.

We assure that no conclusions about natural persons can be drawn from the publications.

8. Data subjects' rights

1) Voluntary consent and revocation according to GDPR Article 7(3)

Consent is voluntary. Non-participation or dropout from the project will not cause you any disadvantages. Consent can be revoked at any time in accordance with GDPR Article 7 (3); as a result, the personal data of the person concerned will not be further processed. This does not affect the lawfulness of the processing carried out on the basis of consent prior to the revocation of consent.

2) Right of access (GDPR Article 15)

The data subjects have the right to request information at any time about data concerning them processed as well as the possible recipients of these data. You shall be entitled to a reply within a period of one month from receipt of the request for information.

3) Right to correction, deletion and restriction of processing (GDPR Articles 16 – 18)

The data subjects may at any time request the project manager (see above) to correct or delete their personal data or to restrict processing.

4) Right to data transfer (GDPR Article 20)

Data subjects may request that the project manager (see above) transmit their personal data to them in a machine-readable format. Alternatively, you may request the direct transfer of the personal data provided by you to another project manager, insofar that this is possible.



To exercise these rights, a written notification (letter, email or fax) to the contact person (see above) is sufficient.

5) Right of appeal (GDPR Article 77)

Data subjects can contact the project manager (see above) at any time as well as the competent supervisory authority for data protection in the event of a complaint pursuant to GDPR Article 77. The contact details of the competent supervisory authority are:

State Commissioner for Data Protection and Freedom of Information Rhineland-Palatinate
Hintere Bleiche 34
55116 Mainz
Phone: +49 6131 8920 0
Fax: +49 6131 8920 299
E-Mail: poststelle@datenschutz.rlp.de